



During the first two weeks of June, participants gathered in Bonn, Germany, to take part in meetings of the four bodies as part of the ongoing negotiations under the United Nations Framework Convention on Climate Change. The meetings included the sixth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA), the eighth session of the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol (AWG-KP), and the thirtieth sessions of the Subsidiary Bodies, namely the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA).

The June session marked a significant point in negotiations under the Bali Action Plan as the AWG-LCA moved to a full negotiating mode with the negotiating text being presented for the Group's consideration for the first time.

The negotiations resulted in draft decisions and conclusions. Related information is provided below.

## **SB 30**

### **Matters relating to non-Annex I National Communications**

Three agenda sub-items were provisionally listed under national communications from Parties not included in Annex I to the Convention (non-Annex I), namely the work of the Consultative Group of Experts on national communications from Parties not included in Annex I to the Convention (CGE); information contained in non-Annex I national communications; and provision of financial and technical support. The sub-agenda item on information contained in non-Annex I national communications was held in abeyance and hence not considered at SB 30.

#### **Work of the CGE**

Negotiations under this sub-agenda item focused on the review of the mandate and terms of reference of the CGE. The review commenced at the thirteenth session of the Conference of the Parties (COP 13), in Bali, Indonesia, in 2007. However, Parties were unable to reach consensus in Bali and also at the subsequent SBI sessions in Bonn, in June 2008, and in Poznan, in December 2008.

At the thirtieth session of the SBI, deliberations on this matter continued and Parties agreed on the mandate and the revised terms of reference of the CGE.

# ***NAI UPDATE***

## ***BONN II***

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The SBI acknowledged that the CGE had made a substantial contribution in improving the process of preparation of non-Annex I national communications by providing technical advice and support, and emphasized the importance of continued provision of such support as well as the need to provide a forum for non-Annex I Parties to share their experiences on the preparation of national communications. To this end, the SBI recommended a draft decision, for adoption by the COP at its fifteenth session, to reconstitute the CGE for a period of three years from 2010 to 2012. The new terms of reference and the mandate of the CGE is to be reviewed by the COP at its seventeenth session.

Under the new mandate and the terms of reference, in addition to providing technical assistance to non-Annex I Parties in the preparation of their national communications, the CGE is also required to: a) provide recommendations on elements to be considered in a future revision of the guidelines for the preparation of non-Annex I national

communications; b) provide technical advice and support to non-Annex I Parties on steps to integrate climate change considerations into relevant social, economic and environmental policies and actions; and c) provide non-Annex I Parties with information on existing activities and programmes to facilitate and support the preparation of their national communications, among others. The CGE is expected to develop its work programme at its first meeting.

For full text of the draft decision see:

<http://unfccc.int/resource/docs/2009/sbi/eng/l03r01.pdf>

### ***Provision of Financial and Technical Support***

The main issue discussed under this sub-agenda item was related to the funding available for the preparation of non-Annex I national communications. Information provided by the Global Environment Facility (GEF) on support given for the preparation of non-Annex I national communications was used as a basis for the discussions (<http://unfccc.int/resource/docs/2009/sbi/eng/inf05.pdf>).

The SBI noted the concerns expressed by several Parties that the funding provided for national communications through the expedited procedures may not be adequate for some non-Annex I Parties to implement activities that need to be undertaken as part of the process of preparing their national communications.

The SBI also urged the GEF to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by non-Annex I Parties in the preparation of their national communications especially, as a number of them will initiate preparation of their third or fourth national communications by the end of the fourth replenishment period of the GEF, in 2010.

The SBI encouraged non-Annex I Parties to submit project proposals for the funding of their subsequent national communications before completion of their current national communications, in order to avoid a lack of continuity in project financing. In addition, the GEF was also requested to assist non-Annex I Parties in formulating and developing project proposals identified in their national communications.

The full text of the conclusions is available at:

<http://unfccc.int/resource/docs/2009/sbi/eng/l12.pdf>

### ***Status of preparation and submission of non-Annex I National Communications***

Kazakhstan submitted its second national communication during SB 30, bringing the total number of second national communications (SNC) submitted by non-Annex I Parties to ten. In addition, 121 non-Annex I Parties are currently preparing their SNC, with 67 of them expected to complete their draft by the end of 2009, and 37 others by the end of 2010.

For more information on the national communications from non-Annex I Parties submitted to date, see: [http://unfccc.int/national\\_reports/non-annex\\_i\\_natcom/items/2979.php](http://unfccc.int/national_reports/non-annex_i_natcom/items/2979.php).

## **Other matters relating to Non-Annex I Parties**

### ***Fourth review of the Financial Mechanism***

The fourth review of the financial mechanism aims to assess the funding necessary to assist developing countries in fulfilling their commitments under the Convention over the next GEF replenishment cycle. It will be completed at COP 15, in Copenhagen, Denmark, in December 2009.

At the thirtieth session of the SBI in Bonn, Parties emphasized the complexity in procedures associated with accessing funds and called for greater transparency in the approval of projects supported through the GEF. On the other hand, some Parties emphasized the importance of taking a more balanced approach and highlighted the successes achieved through the GEF.

Parties engaged in in-depth discussions on a draft decision that will be recommended for adoption by COP 15. As Parties were unable to complete their deliberations on this issue, they agreed to continue to discuss the draft decision text at SBI 31.

The SBI invited Parties to make submissions to the secretariat, by 28 September 2009, on the operation of funds under the GEF, including the Special Climate Change Fund (SCCF) and the Least Developed Countries Fund (LDCF), to inform the fourth review of the financial mechanism, for consideration by the SBI at its thirty-first session

The full text of the conclusions is available at:

<http://unfccc.int/resource/docs/2009/sbi/eng/l15r01.pdf>

### ***Assessment of the Special Climate Change Fund***

The SCCF provides finances for programs and measures related to climate change that are complementary to those supported under the GEF climate change focal area as well as bilateral and multilateral funding.

As the Parties were unable to complete their deliberations on this issue at the June session, the assessment of the SCCF will continue at COP 15 to focus on providing further guidance on how to support concrete implementation of projects related to response measures. Parties wishing to comment on this aspect of the SCCF can make submission to the secretariat by 28 September 2009, in the context of the fourth review of the financial mechanism.

The fourth review of the financial mechanism and the assessment of the implementation of the SCCF will be completed at COP15.

For the full text of the conclusions, see:

<http://unfccc.int/resource/docs/2009/sbi/eng/l14.pdf>

### ***Adaptation Fund under the Kyoto Protocol***

The SBI, in accordance with decision 1/CMP.3, on the review of all matters relating to the Adaptation Fund, including the institutional arrangements, invited Parties to submit to the secretariat, by 22 March

2010, their views and recommendations on the possible terms of reference for this review, which will be undertaken at the sixth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP).

The SBI requested the secretariat to compile the views and recommendations submitted by the Parties into a miscellaneous document. It further requested the secretariat, on the basis of these submissions, to prepare draft terms of reference for consideration by the SBI at its thirty-second session with a view to the SBI endorsing the terms of reference at the same session.

For the full text of the draft decision, see: <http://unfccc.int/resource/docs/2009/sbi/eng/l16a01.pdf>

### *The sixth meeting of the Adaptation Fund Board*

The sixth meeting of the Adaptation Fund Board (AFB) took place in Bonn, Germany, from 15 to 17 June. This meeting continued to build momentum regarding the Board's goal of processing project proposals before Copenhagen. Further progress was also made on the issue of direct access to funding.

*The key outcomes of the meeting were:*

- Agreements reached on the draft fiduciary standards and accreditation process to operationalize direct access by Parties to receive funding from AF;
- Presentations from the two Parties that have submitted offers to confer legal capacity and to host the AFB;
- Establishment of two committees, namely, Ethics and Finance Committee and Projects and Programme Committee;
- Approval of budget for 2009–2010;
- Agreement on the Logo for the AF; and
- Initiation of CER monetization process, resulting in proceeds of US\$18 million in the AF Trust Fund as at May 2009.

The Adaptation Fund was established by Parties to the Kyoto Protocol to finance concrete adaptation projects and programmes in developing countries that are Parties to the Kyoto Protocol. The Fund is financed through a levy on Certified Emission Reductions issued from projects developed under the Clean Development Mechanism (certain projects are exempted from this levy) and other funds.

For the report of the 6<sup>th</sup> meeting of the AFB, see: [http://www.adaptation-fund.org/images/AFB.B.6.14.final\\_report.pdf](http://www.adaptation-fund.org/images/AFB.B.6.14.final_report.pdf)

### *Matters relating to LDCs*

Under this agenda item, the SBI welcomed the submission of 41 national adaptation programmes of action (NAPA) as at 5 June 2009. The SBI encouraged the Least Developed Countries Expert Group (LEG) to organize, in accordance with its work programme, training on the design of NAPA implementation strategies and preparation of projects based on the *Step-by-step guide for implementation of NAPAs*, that is being finalized by the LEG. The SBI also encouraged the LEG to continue the survey to collect further information from Least Developed Countries (LDCs) on the status of implementation of their NAPA, on progress made, problems faced, and proposal for addressing these problems.

For the full text of the conclusions see: <http://unfccc.int/resource/docs/2009/sbi/eng/l13.pdf>

The sixteenth meeting of the LEG, which is scheduled to take place in Bangkok, Thailand, from 24 to 26 September 2009, will focus on strategies for training workshops on implementation of NAPAs.

The first training workshop on the design of NAPA implementation strategies and preparation of projects, based on the step-by-step guide for implementation of NAPAs, is planned from 21 to 24 October, 2009 in Dar es Salaam, Tanzania, for the Anglophone African LDCs. The other workshops are planned from early 2010.

For more information on LDC issues see: [http://unfccc.int/cooperation\\_support/least\\_developed\\_countries\\_portal/items/4751.php](http://unfccc.int/cooperation_support/least_developed_countries_portal/items/4751.php)

### *Nairobi Work Programme on impacts, vulnerability and adaptation (NWP)*

Parties welcomed the progress made up to the thirtieth session of the SBSTA on the implementation of the Nairobi work programme on impact, vulnerability and adaptation to climate change (NWP). The SBSTA also welcomed the direct engagement of, and the contributions provided by, Parties and a wide range of organizations (136 partners as of June 2009) in the implementation of the NWP.

In its conclusions, the SBSTA recognized the need to enhance the catalytic role of the NWP and agreed to intensify its efforts toward this end. It requested the secretariat to continue its efforts in:

- Engaging organizations, in accordance with the conclusions of the SBSTA 28, in the implementation of the NWP;
- Enhancing the outreach of the NWP to relevant stakeholders at community, national and regional levels, including those undertaking activities relating to education, training and awareness-raising;
- Further developing and disseminating user-friendly information products and promoting the outputs and information produced under the NWP.

For the full text of the conclusions see: <http://unfccc.int/resource/docs/2009/sbsta/eng/l02.pdf>

The next technical workshop under the NWP, on advancing the integration of approaches to adaptation planning, is scheduled to take place in Bangkok, Thailand, from 12 to 14 October 2009 (to be confirmed – please check the website listed at the end of this paragraph). The workshop will be held with a view to making informed decisions on integrated practical adaptation actions and measures at various levels and for various sectors and livelihoods. It will be hosted by the United Nations Economic and Social Commission for Asia and the Pacific (UNESCAP) and is held in collaboration with the Government of Thailand. Further information on the workshop is available at: <http://unfccc.int/adaptation/4915.php>

## Progress on the implementation of decision 1/CP.10

At SB 30, the SBI noted the views of Parties on the status of implementation of Article 4, paragraph 8, of the Convention, and on decisions 5/CP.7 and 1/CP.10. Decision 5/CP.7 establishes work programme for implementation of Article 4, paragraphs 8 and 9 of the Convention. Decision 1/CP.10, the Buenos Aires programme of work on adaptation and response measures describes ways to respond to the adverse effects of climate change and impact of the implementation of response measures. The Parties agreed to continue the discussion on this matter at its next session. The SBI requested its Chair to prepare a draft decision text with a view to adopting it at COP 16.

For the full text of the conclusions see:

<http://unfccc.int/resource/docs/2009/sbi/eng/l13.pdf>

## REDD

The negotiations on “Reducing emissions from deforestation and forest degradation in developing countries” (REDD) are an ongoing process since it was introduced as an item into the agenda of the COP at its eleventh session, in December 2005.

At this session, the SBSTA continued its work on methodological issues. To this end, SBSTA considered:

- The [outcomes](#) of the expert meeting on methodological issues relating to reference emission levels and reference levels that was held in March 2009, in Bonn, Germany, and;
- The information contained in the [technical paper](#) entitled “cost of implementing methodologies and monitoring systems relating to estimates of emissions from deforestation and forest degradation, the assessment of carbon stocks and greenhouse gas emissions from changes in forest cover, and the enhancement of forest carbon stocks”.

The SBSTA also:

- Took note of the submission of views by Parties on the needs for technical and institutional capacity building and cooperation (contained in documents [FCCC/SBSTA/2009/MISC.2](#), [Add.1](#) and [Add.2](#)) and on issues relating to indigenous peoples and local communities for the development and application of methodologies (contained in documents [FCCC/SBSTA/2009/MISC.1](#), [Add.1](#) and [Add.2](#)).
- Reminded and encouraged Parties, relevant organizations and stakeholders, to continue sharing information relating to methodological issues via the [REDD web platform](#).

The SBSTA will continue its work on methodological issues relating to REDD at its thirty-first session.

For the full text of the conclusion see:  
<http://unfccc.int/resource/docs/2009/sbsta/eng/l09.pdf>

For more information on REDD see:

[http://unfccc.int/methods\\_and\\_science/lulucf/items/4123.php](http://unfccc.int/methods_and_science/lulucf/items/4123.php)

## Development and transfer of technologies

This agenda item falls under both the SBI and SBSTA and significant progress on this was made in SB 30.

During this session, the Expert Group on Technology Transfer (EGTT) delivered its final reports on financing options for enhancing technology transfer under the Convention<sup>1</sup> and a long-term strategy,<sup>2</sup> as well as the draft report on performance indicators.<sup>3</sup> The final report on performance indicators, which will be made available at the thirty-first session of the SBs, will contain a set of indicators that could be used by the SBI as one of the tools to conduct the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention, and to regularly monitor and evaluate the effectiveness of the implementation of the technology transfer framework,<sup>4</sup> as requested by decision 4/CP.13.<sup>5</sup> The reports were widely acknowledged as containing a wealth of information and a range of important findings that could inform Parties in their deliberations under the AWG-LCA process.

The SBI noted the interim report<sup>6</sup> of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer.<sup>7</sup> The SBI requested the GEF to expedite this work, particularly in providing financial support for developing country Parties in conducting and updating their technology needs assessments (TNA) and in acting on the results. Under this USD 60 million initiative, the GEF has opened a call for proposals for technology transfer pilot projects. Project proposals must be submitted to the GEF through the GEF national focal point in each eligible country by 14 August 2009 ([www.gefweb.org](http://www.gefweb.org)).

The SBSTA noted, with appreciation, the second synthesis report prepared by the secretariat on technology needs identified by non-Annex I Parties<sup>8</sup> and recognized that the large number of TNAs submitted provide a basis for analysis of technology needs and that the synthesis report referred offers a wide range of information on regional and sector-specific technology needs, barriers to technology transfer, capacity-building needs and opportunities for technology transfer.

The SBSTA welcomed the updated handbook

*Conducting Technology Needs Assessments for Climate Change*,<sup>9</sup> jointly prepared by the United Nations Development Programme and the secretariat

<sup>1</sup> <<http://unfccc.int/resource/docs/2009/sb/eng/02.pdf>>

<sup>2</sup> <<http://unfccc.int/resource/docs/2009/sb/eng/03sum.pdf>>

<sup>3</sup>

<sup>4</sup> <<http://unfccc.int/resource/docs/2009/sb/eng/01.pdf>>

<sup>5</sup> The framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention.

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<sup>7</sup> <http://unfccc.int/resource/docs/2007/cop13/eng/06a01.pdf#page=26>

<sup>8</sup> <http://unfccc.int/resource/docs/2009/sbi/eng/03.pdf>

<sup>9</sup>

<http://unfccc.int/resource/docs/2008/cop14/eng/07a01.pdf#page=3>

<sup>8</sup>

<<http://unfccc.int/resource/docs/2009/sbsta/eng/inf01.pdf>>

<sup>9</sup>

<<http://unfccc.int/ttclear/pdf/TNA%20Handbook%20-%20Advance%20Document%20June09.pdf>>



in cooperation with the EGTT and the Climate Technology Initiative and encouraged non-Annex I Parties to use it when conducting their TNAs.

The African regional workshop on preparing technology transfer projects for financing will be held from 19 to 21 August 2009 in Gaborone, Botswana. The SBSTA requested the secretariat to use the UNFCCC publication *Preparing and Presenting Proposals: A Guidebook on Preparing Technology Transfer Projects for Financing*<sup>10</sup> in conducting this workshop.

## AWG-LCA

At its sixth session in Bonn, Germany, the AWG-LCA was presented with a negotiating text ([http://unfccc.int/documentation/documents/advanced\\_search/items/3594.php?rec=j&preref=600005243#beg](http://unfccc.int/documentation/documents/advanced_search/items/3594.php?rec=j&preref=600005243#beg)) prepared by its Chair in response to a request from the AWG-LCA at its fourth session. The negotiating text was built on ideas and proposals by Parties contained in submissions received by 5 May 2009, including those ideas and proposals of Parties compiled in the document [FCCC/AWG/LCA/2008/16/Rev.1](http://unfccc.int/documentation/documents/advanced_search/items/3594.php?rec=j&preref=600005358#beg). The text also took account of the proceedings of the AWG-LCA at its fifth session.

Two readings of the negotiating text were undertaken during this session. During the first reading, Parties provided general comments on the structure and content of the text, stated reservations and objections to its elements, and proposed general additions and modifications. In the context of the second reading, Parties submitted specific textual proposals to be included in the text. The inclusion of these inputs resulted in a revised negotiating text ([http://unfccc.int/documentation/documents/advanced\\_search/items/3594.php?rec=j&preref=600005358#beg](http://unfccc.int/documentation/documents/advanced_search/items/3594.php?rec=j&preref=600005358#beg)) which will be used as a basis of discussion during the upcoming intersessional informal consultations of the group in Bonn, Germany from 10 to 14 August 2009.

The AWG-LCA was established in 2007 at the thirteenth session of the COP to enable a full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012, in order to reach an agreed outcome and adopt a decision at the fifteenth session of the COP.

For more information on AWG-LCA see:  
<http://unfccc.int/meetings/items/4381.php>

## AWG-KP

The main issues considered by the AWG-KP at its eighth session in June were: a) the scale of emission reductions to be achieved by Annex I Parties in aggregate; and b) the contribution of Annex I Parties, individually or jointly, to the scale of emission reductions to be achieved by Annex I Parties in aggregate.

Two contact groups were established to discuss these issues, using two texts prepared by the Chair as a basis for their work, with a view to further refining the options set out. The texts comprised a proposal for amendments to the

Kyoto Protocol pursuant to its Article 3, paragraph 9,<sup>11</sup> and a text on other issues.<sup>12</sup> A third contact group was established to consider legal issues referred to it by the other contact groups.

Another important issue which also received significant attention was the potential environmental, economic and social consequences, including spillover effects, of tools, policies, measures and methodologies available to Annex I Parties (also known as potential consequences.). A contact group was also established to discuss this issue.

The views and proposals submitted by Parties included:

- Further views and proposals relating to a proposal for amendments to the Kyoto Protocol pursuant to its Article 3, paragraph 9, and a text on other issues outlined in document FCCC/KP/AWG/2008/8;<sup>13</sup>
- Possible improvements to emissions trading and the project-based mechanisms;
- The coverage of greenhouse gases, sectors and source categories, common metrics and possible approaches targeting sectoral emissions; and
- Options and proposals for the treatment of land use, land-use change and forestry (LULUCF).

In the August informal session of the Group, the AWG-KP will consider documentation<sup>14</sup> to facilitate negotiations on:

- Proposed amendments to the Kyoto Protocol pursuant to its Article 3, paragraph 9;
- Other proposed amendments to the Kyoto Protocol; and
- Draft decisions on other issues arising from the implementation of the work programme.

This documentation is intended to reflect the proposals, views and discussions by Parties in a comprehensive manner, without prejudging any outcome. It will be revised for each of the Group's sessions, drawing on the discussions among Parties.

To facilitate discussions on the scale of emission reductions to be achieved by Annex I Parties in aggregate, as well as on the contribution of Annex I Parties, individually or jointly, to the scale of emission reductions to be achieved by Annex I Parties in aggregate, similar arrangements to those at the eighth session will be made at the August's informal session.

The Group will also resume consideration of information on potential consequences, based on a revised annex which was developed at the eighth session.

For more information on the AWG-KP see:  
[http://unfccc.int/kyoto\\_protocol/items/4577.php](http://unfccc.int/kyoto_protocol/items/4577.php).

<sup>10</sup> This guidebook was launched at the twelfth session of the COP in November 2006. It is available at <<http://unfccc.int/ttclear/jsp/Guidebook.jsp>>.

<sup>11</sup> <http://unfccc.int/resource/docs/2009/awg8/eng/07.pdf>

<sup>12</sup> <http://unfccc.int/resource/docs/2009/awg8/eng/08.pdf>

<sup>13</sup> <http://unfccc.int/resource/docs/2008/awg6/eng/08.pdf>

<sup>14</sup> [http://unfccc.int/kyoto\\_protocol/items/4577.php](http://unfccc.int/kyoto_protocol/items/4577.php)

## Upcoming Events

### Asia Pacific regional workshop on Article 6

To promote the development and implementation of the New Delhi work programme on Article 6 of the Convention in the region and facilitate exchange of lessons learned and best practices, the secretariat will be organizing, in cooperation with the Ministry of Environment of Indonesia, the Asia and the Pacific regional workshop, in Bali, from 14 to 16 October 2009. The workshop will contribute to the review of the work programme which will be undertaken in 2012, with an intermediate review of progress in 2010, to evaluate its effectiveness and identify emerging gaps and opportunities of the region in fulfilling their commitments under Article 6 of the Convention. After the European regional workshop which took place in Stockholm, Sweden, earlier this year, this workshop is the second of a series of mandated regional workshops: the African and the Latin American and the Caribbean regional workshops will be held in early 2010, followed by the workshop addressing the specific needs of the SIDS countries.

### Other upcoming Events

**Informal sessions of AWG-LCA & AWG-KP**  
10-14 August 2009, Bonn, Germany

**The African regional workshop on preparing technology transfer projects for financing**  
19 to 21 August 2009 in Gaborone, Botswana

**Sixteenth meeting of the Least Developed Country Expert Group (LEG)**  
24-26 September 2009 in Bangkok, Thailand

**AWG-LCA 7 and AWG-KP 9**  
28 September to 9 October, 2009, Bangkok, Thailand

**Technical workshop under the NWP, on advancing the integration of approaches to adaptation planning**  
12 to 14 October 2009, Bangkok, Thailand

**Asia Pacific regional workshop on Article 6 (Education, training and public awareness)**  
14 to 16 October, Bali, Indonesia

**Design of NAPA implementation strategies and preparation of projects**  
21 to 24 October, 2009 in Dar es Salaam, Tanzania

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